

**BROCHURE SUPPLEMENT  
March 30, 2021**

**GRANTHAM, MAYO, VAN OTTERLOO & Co. LLC  
40 ROWES WHARF  
BOSTON, MASSACHUSETTS 02110**

**(617) 330-7500**

**[www.gmo.com](http://www.gmo.com)**

**This brochure supplement provides information about the following personnel:**

Joe Auth	Sean Gleason	Van Le
Amit Bhartia	Jeremy Grantham	Peter Martin
B.J. Brannan	Jason Halliwell	Kimball Mayer
Warren Chiang	Tom Hancock	Vikram Mundkur
Hassan Chowdhry	Simon Harris	Jon Roiter
Ty Cobb	Anthony Hene	Carl Ross
Victoria Courmes	Jason Hotra	George Sakoulis
Arjun Divecha	Ben Inker	Riti Samanta
Drew Edwards	Matt Kadnar	John Thorndike
Doug Francis	Tracey Keenan	Tina Vandersteel
Binu George	Sam Klar	Josh White
		Lucas White

**This information accompanies the contents of the Grantham, Mayo, Van Otterloo & Co. LLC (“GMO LLC”) brochure (ADV Part 2A). You should have received a copy of the GMO LLC brochure. Please contact GMO LLC at (617) 330-7750 if you did not receive the brochure or if you have any questions about the contents of this brochure supplement.**

**Additional information about GMO LLC is also available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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**JEREMY GRANTHAM – CHIEF INVESTMENT STRATEGIST**

Mr. Grantham co-founded GMO in 1977 and is GMO’s Chief Investment Strategist. Mr. Grantham is not responsible for day-to-day discretionary advice provided to clients, and therefore, does not appear in the discussion below.

**GEORGE SAKOULIS – HEAD OF INVESTMENT TEAMS**

Mr. Sakoulis joined GMO in 2020 as its Head of Investment Teams and will work with the investment groups to deliver strong investment results for clients. Mr. Sakoulis is not responsible for day-to-day discretionary advice provided to clients, nor will Mr. Sakoulis direct the investment teams on which securities to buy or sell. As such, Mr. Sakoulis does not appear in the discussion below.

**GMO STRATEGIES & INVESTMENT TEAMS**

Below is a table listing GMO’s investment strategies and the Investment Team members with responsibility for providing day-to-day discretionary advice to clients of the strategy. Information about each team member can be found following this table.

	<b>Strategy</b>	<b>Team Members</b>
<b>Multi-Asset Class</b>	Global Allocation Absolute Return	Ben Inker, John Thorndike
	Benchmark-Free Allocation	Ben Inker, John Thorndike
	Real Return Global Balanced Asset Allocation	Ben Inker, John Thorndike
	Global Asset Allocation	Ben Inker, John Thorndike
	Tax-Managed Benchmark -Free	Ben Inker, John Thorndike
<b>Equities</b>	Global All Country Equity Allocation	Ben Inker, Arjun Divecha, John Thorndike
	Global Developed Equity Allocation	Ben Inker, Arjun Divecha, John Thorndike
	International All Country Equity Allocation	Ben Inker, Arjun Divecha, John Thorndike
	International Developed Equity Allocation	Ben Inker, Arjun Divecha, John Thorndike
	Tax-Managed International Equities	Simon Harris, Josh White
	International Equity	Simon Harris, Josh White
	U.S. Small Cap Value Equity	Simon Harris, Josh White
	U.S. Equity	Simon Harris, Josh White

	<b>Strategy</b>	<b>Team Members</b>
	Emerging Markets	Arjun Divecha, Amit Bhartia, Warren Chiang, Binu George
	Emerging Domestic Opportunities	Arjun Divecha, Amit Bhartia, Binu George
	Quality	Tom Hancock, Anthony Hene, Ty Cobb, Lucas White, Hassan Chowdhry, Kimball Mayer
	Resources	Tom Hancock, Anthony Hene, Ty Cobb, Lucas White, Kimball Mayer
	Climate Change	Tom Hancock, Lucas White
	Usonian Japan Equity	Drew Edwards
<b>Developed Fixed Income</b>	Multi-Sector Fixed Income	Jason Hotra, Riti Samanta
	Global Bond	Jason Hotra, Riti Samanta
	Opportunistic Income	Joe Auth
	High Yield	Joe Auth, Riti Samanta
	Risk Premium	Jason Hotra, Van Le
<b>Short Duration</b>	Strategic Short-Term	Tracey Keenan
<b>Emerging Country Debt</b>	Emerging Country Local Debt	Tina Vandersteel, Carl Ross, Victoria Courmes
	Emerging Country Debt	Tina Vandersteel, Carl Ross, Victoria Courmes
<b>Alternatives</b>	Tactical Opportunities	Tom Hancock, Anthony Hene, Ty Cobb, Lucas White, Hassan Chowdhry, Kimball Mayer
	Event Driven	Doug Francis, Sam Klar
	Fixed Income Absolute Return	Jason Hotra, Riti Samanta
	Mean Reversion	Ben Inker, John Thorndike
	Systematic Global Macro	Jason Halliwell, Sean Gleason, Peter Martin, Vikram Mundkur
	SGM Major Markets	Jason Halliwell, Sean Gleason, Peter Martin, Vikram Mundkur
	Multi-Strategy	Ben Inker, Matt Kadnar, B.J. Brannan

	<b>Strategy</b>	<b>Team Members</b>
	Resources Long/Short	Tom Hancock, Lucas White
	Quality Spectrum Long/Short	Tom Hancock
	Credit Opportunities	Jon Roiter
	Equity Dislocation	Simon Harris, Ben Inker

**SUPPLEMENT GUIDE**

- GMO LLC refers to its Members as “Partners.” The discussion below reflects this designation.
- Where the business address of a team member is listed as “c/o GMO LLC,” the address is GMO LLC, 40 Rowes Wharf, Boston, MA 02110.

## ASSET ALLOCATION

### BEN INKER

Head of Asset Allocation, c/o GMO LLC, (617) 330-7500

#### Item 2 – Educational Background and Business Experience

Year of Birth: 1970

Educational Background:

- BA, Economics, 1992 Yale University, New Haven, CT

Business Experience (previous 5 years):

- GMO LLC
  - 2011 – present Member of the GMO LLC Board
  - 2006 – present Partner, Head of Asset Allocation

#### Item 3 – Disciplinary Information

None

#### Item 4 – Other Business Activities

None

#### Item 5 – Additional Compensation

None

#### Item 6 – Supervision

While Ben Inker reports to GMO's CEO, as the Head of the Asset Allocation, Mr. Inker has a high level of autonomy and is accountable for research and portfolio management for the Teams. The CEO, Scott Hayward, may be reached at (617) 330-7500.

### JOHN THORNDIKE

c/o GMO LLC, (617) 330-7500

#### Item 2 – Educational Background and Business Experience

Year of Birth: 1980

Educational Background:

- A.B., Physics, 2002 Bowdoin College, Brunswick, ME

Business Experience (previous 5 years):

- GMO LLC
  - 2015-present Portfolio Manager
- The Investment Fund for Foundations
  - 2009-2015 Deputy Chief Investment Officer

#### Item 3 – Disciplinary Information

None

#### Item 4 – Other Business Activities

None

#### Item 5 – Additional Compensation

None

#### Item 6 – Supervision

John Thorndike is supervised by Ben Inker, the Head of the Asset Allocation Team, who allocates responsibility for portions of client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Mr. Inker may be reached at (617) 330-7500.

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**MATT KADNAR** c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1969

Educational Background:

- J.D., 1994 Saint Louis University School of Law, St. Louis, MO
- B.S., Finance and Philosophy, 1991 Boston College, Chestnut Hill, MA

Business Experience (previous 5 years):

- GMO LLC  
2004-present Portfolio Manager/Portfolio Strategist

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Matt Kadnar is supervised by Ben Inker, the Head of the Asset Allocation Team, who allocates responsibility for portions of client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Mr. Inker may be reached at (617) 330-7500.

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**B.J. BRANNAN** c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1976

Educational Background:

- MBA, 2007 Boston University, Boston, MA
- B.S., Plant and Soil Science, 2000 University of Massachusetts, Amherst, MA

Business Experience (previous 5 years):

- GMO LLC  
2006-present Portfolio Implementation

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

B.J. Brannan is supervised by Ben Inker, the Head of the Asset Allocation Team, who allocates responsibility for portions of client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Mr. Inker may be reached at (617) 330-7500.



## EMERGING MARKETS EQUITY

**ARJUN DIVECHA** Head of Emerging Markets Equity, 2150 Shattuck Avenue, Suite 900, Berkeley, CA,  
(510) 649-6030

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1955

Educational Background:

- MBA, Finance, 1981 Cornell University, Ithaca, NY
- B Tech, Aeronautical Engineering, 1979 Indian Institute of Technology, Bombay, India

Business Experience (previous 5 years):

- GMO LLC  
    2009 – present Member of the GMO LLC Board  
    2006 – present Partner, Head of Emerging Market Equity

### **Item 3 – Disciplinary Information**

None

### **Item 6 – Supervision**

While Arjun Divecha reports to GMO's Head of Investment Teams, as the Head of the Emerging Markets Equity Team, Mr. Divecha has a high level of autonomy and is accountable for research and portfolio management for the Team. The Head of Investment Teams, George Sakoulis may be reached at (617) 330-7500.

### **Item 4 – Other Business Activities**

None

### **Item 5 – Additional Compensation**

None

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**AMIT BHARTIA** c/o GMO Singapore Pte. Ltd., 1 Raffles Place, #53-00, One Raffles Place, Singapore,  
048616, tel. (65) 6532-0346

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1969

Educational Background:

- MBA, 1993 Institute for Technology & Management, Bombay, India
- B, Engineering, 1990 Vivekanand Institute of Technology, Bombay, India

Business Experience (previous 5 years):

- GMO Singapore Pte. Ltd.  
    2002 – present Partner, Portfolio Manager

### **Item 3 – Disciplinary Information**

None

### **Item 6 – Supervision**

Amit Bhartia is supervised by George Sakoulis, the Head of Investment Teams. Mr. Sakoulis may be reached at (617) 330-7500.

### **Item 4 – Other Business Activities**

None

### **Item 5 – Additional Compensation**

None

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**WARREN CHIANG**

2150 Shattuck Avenue, Suite 900, Berkeley, CA, (510) 649-6030

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1973

Educational Background:

- MBA, 2005 University of California at Berkeley, Haas School of Business, Berkeley, CA
- BA, Economics, 1995 University of California at Berkeley, Berkeley, CA

Business Experience (previous 5 years):

- GMO LLC  
2015 – present Portfolio Manager
- Mellon Capital Management  
2006-2015 Managing Director, Head of Active Equity Strategies

**Item 3 – Disciplinary Information**

None

**Item 6 – Supervision**

Warren Chiang is supervised by George Sakoulis the Head of Investment Teams. Mr. Sakoulis may be reached at (617) 330-7500.

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**BINU GEORGE**

2150 Shattuck Avenue, Suite 900, Berkeley, CA, (510) 649-6030

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1966

Educational Background:

- MBA, Finance, 1991 University of Rochester, Rochester, NY
- B Tech, Civil Engineering, 1988 Indian Institute of Technology Madras, Chennai, India

Business Experience (previous 5 years):

- GMO LLC  
2009 – present Product Manager

**Item 3 – Disciplinary Information**

None

**Item 6 – Supervision**

Binu George is supervised by Warren Chiang, the Head of Quantitative, Emerging Markets Equity Team, who oversees quantitative research for the Emerging Markets Equity Team. Mr. Chang may be reached at (617) 330-7500.

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**EVENT DRIVEN**

**DOUG FRANCIS** c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1964

Educational Background:

- MBA, 1991 Boston University, Boston, MA
- B.A., Economics, 1986 Trinity College, Hartford, CT

Business Experience (previous 5 years):

- GMO LLC  
2009-present Portfolio Manager

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

While Doug Francis is supervised by GMO’s Head of Investment Teams, Mr. Francis has a high level of autonomy in the management of the developed fixed income products. The Head of Investment Teams, George Sakoulis may be reached at (617) 330-7500.

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**SAM KLAR** c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1982

Educational Background:

- B.S., Business Administration and Finance, 2006 Northeastern University, Boston, MA

Business Experience (previous 5 years):

- GMO LLC  
2006-present Research Analyst

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

While Sam Klar is supervised by GMO’s Head of Investment Teams, Mr. Klar has a high level of autonomy in the management of the developed fixed income products. The Head of Investment Teams, George Sakoulis may be reached at (617) 330-7500.

## DEVELOPED FIXED INCOME

**JOE AUTH**      c/o GMO LLC, (617) 330-7500

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1969

Educational Background:

- MBA, 1996
- BA, Government and History, 1991

University of Connecticut, Storrs, CT  
Connecticut College, New London, CT

Business Experience (previous 5 years):

- GMO LLC  
2014 – present

Portfolio Manager

### **Item 3 – Disciplinary Information**

None

### **Item 4 – Other Business Activities**

None

### **Item 5 – Additional Compensation**

None

### **Item 6 – Supervision**

While Joe Auth is supervised by GMO's Head of Investment Teams, Mr. Auth has a high level of autonomy in the management of the developed fixed income products. The Head of Investment Teams, George Sakoulis may be reached at (617) 330-7500.

**JASON HOTRA**      c/o GMO LLC, (617) 330-7500

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1975

Educational Background:

- B.S., Management Science, 1997

Massachusetts Institute of Technology, Cambridge, MA

Business Experience (previous 5 years):

- GMO LLC  
2015 – present

Portfolio Manager

### **Item 3 – Disciplinary Information**

None

### **Item 4 – Other Business Activities**

None

### **Item 5 – Additional Compensation**

None

### **Item 6 – Supervision**

While Jason Hotra is supervised by GMO's Head of Investment Teams, Mr. Hotra has a high level of autonomy in the management of the developed fixed income products. The Head of Investment Teams, George Sakoulis may be reached at (617) 330-7500.

**VAN LE**      c/o GMO LLC, (617) 330-7500

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1981

Educational Background:

- M, Finance, 2013 Princeton University, Princeton, NJ
- MS, Econometrics and Mathematical Economics London School of Economics and Political Science, London, UK
- MS, Mathematics University of Cambridge, Cambridge, UK
- BA, Design/Media Arts, 2004 University of California, Los Angeles, CA

Business Experience (previous 5 years):

- GMO LLC  
2015-present Research Analyst

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Van Le is supervised by Jason Hotra, the Head of the Developed Fixed Income Team, who allocates responsibility for portions of client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Mr. Hotra may be reached at (617) 330-7500.

**JON ROITER**

c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1982

Educational Background:

- BA, Economics, 2004 Columbia University, New York, NY

Business Experience (previous 5 years):

- GMO LLC  
September 2011 - present Research Analyst

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

While Jon Roiter is supervised by GMO’s Head of Investment Teams, Mr. Roiter has a high level of autonomy in the management of the developed fixed income products. The Head of Investment Teams, George Sakoulis, may be reached at (617) 330-7500.

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**RITI SAMANTA**

c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1978

Educational Background:

- PhD, International Economics and Finance, 2005 Brandeis University, Waltham, MA
- BA, Economics, 1999 Reed College, Portland, OR

Business Experience (previous 5 years):

- GMO LLC  
September 2018 - present Head of Systematic Fixed Income Strategy, Portfolio Manager
- State Street Global Advisors  
2005-1018 Managing Director, Head of Systematic Fixed Income

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

While Riti Samanta is supervised by GMO’s Head of Investment Teams, Dr. Samanta has a high level of autonomy in the management of the developed fixed income products. The Head of Investment Teams, George Sakoulis, may be reached at (617) 330-7500.

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## EMERGING COUNTRY DEBT

**TINA VANDERSTEEL**

Head Emerging Country Debt, c/o GMO LLC, (617) 330-7500

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1969

Educational Background:

- BA, Economics & Journalism, 1990 Washington & Lee University, Lexington, VA

Business Experience (previous 5 years):

- GMO LLC
  - September 2016- present Head Emerging Country Debt Team
  - 2015 – September 2016 Co-Head Emerging Country Debt Team
  - 2010 – present Partner, Portfolio Manager

### **Item 3 – Disciplinary Information**

None

### **Item 4 – Other Business Activities**

None

### **Item 5 – Additional Compensation**

None

### **Item 6 – Supervision**

While Tina Vandersteel reports to GMO's Head of Investment Teams, as the Head of the Emerging Country Debt Team, Ms. Vandersteel has a high level of autonomy and is accountable for research and portfolio management for the Team. The Head of Investment Teams, George Sakoulis, may be reached at (617) 330-7500.

**CARL ROSS**

c/o GMO LLC, (617) 330-7500

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1962

Educational Background:

- PhD, Economics, 1989 Georgetown University, Washington, D.C.
- MA, Economics, 1986 Georgetown University, Washington, D.C.
- BA, Economics, 1984 Mount Allison University, New Brunswick, Canada

Business Experience (previous 5 years):

- GMO LLC
  - 2014 – present Sovereign Credit Analyst

### **Item 3 – Disciplinary Information**

None

### **Item 4 – Other Business Activities**

None

### **Item 5 – Additional Compensation**

None

### **Item 6 – Supervision**

Carl Ross is supervised by Tina Vandersteel, the Head of the Emerging Country Debt Team, who allocates responsibility for portions of client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Ms. Vandersteel may be reached at (617) 330-7500.

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**VICTORIA COURMES**

c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1977

Educational Background:

- M.A., International Relations, 2005
- B.S., Political Science, 2003

John Hopkins University, Baltimore, MD  
Barry University, Miami, FL

Business Experience (previous 5 years):

- GMO LLC  
2016 – present
- Acadian Asset Management  
June 2014 – October 2015

Portfolio Manager

Associate Portfolio Manager

**Item 3 – Disciplinary Information**

None

**Item 6 – Supervision**

Victoria Courmes is supervised by Tina Vandersteel, the Head of the Emerging Country Debt Team, who allocates responsibility for portions of client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Ms. Vandersteel may be reached at (617) 330-7500.

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None



**FOCUSED EQUITY**

**TOM HANCOCK**      Head of Focused Equity, c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1963

Educational Background:

- PhD, Computer Science, 1992      Harvard University, Cambridge, MA
- MS, Computer Science, 1985      Rensselaer Polytechnic Institute, Troy, NY
- BS, Computer Science, 1984      Rensselaer Polytechnic Institute, Troy, NY

Business Experience (previous 5 years):

- GMO LLC  
    2009 – present      Partner, Head of Focused Equity

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

While Tom Hancock reports to GMO’s Head of Investment Teams, as the Head of the Focused Equity Team, Dr. Hancock has a high level of autonomy and is accountable for research and portfolio management for the Team. The Head of Investment Teams, George Sakoulis, may be reached at (617) 330-7500.

**HASSAN CHOWDHRY**      c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1975

Educational Background:

- MA, Business Administration, 2007      Massachusetts Institute of Technology, Cambridge, MA
- MA, Public Administration, 2007      Harvard University, Cambridge, MA
- BS, Economics, 1999      University of Pennsylvania, Philadelphia, PA
- BS, Systems Engineering, 1999      University of Pennsylvania, Philadelphia, PA

Business Experience (previous 5 years):

- GMO LLC  
    2015- present      Research Analyst

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Hassan Chowdhry is supervised by Tom Hancock, the Head of the Focused Equity Team, who allocates responsibility for portions of client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment

objectives and strategies, and monitors cash. Dr. Hancock may be reached at (617) 330-7500.

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**TY COBB** c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1969

Educational Background:

- MS, Finance, 2000 Suffolk University, Boston, MA
- BA, Economics, 1992 Bucknell University, Lewisburg, PA

Business Experience (previous 5 years):

- GMO LLC  
2010-present Partner, Fundamental Analyst  
1997-present Fundamental Analyst

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Ty Cobb is supervised by Tom Hancock, the Head of the Focused Equity Team, who allocates responsibility for portions of client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Dr. Hancock may be reached at (617) 330-7500.

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**ANTHONY HENE**

No. 1 London Bridge, London, U.K., SE1 9BG, tel. (011)(44)-207-814-7600

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1972

Educational Background:

- MS, Biochemistry, 1994 Oxford University, Oxford, UK
- BS, Biochemistry, 1994 Oxford University, Oxford, UK

Business Experience (previous 5 years):

- GMO UK Limited  
2003-Present Partner, Portfolio Manager and Research Analyst  
1995-Present Portfolio Manager and Research Analyst

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

**Item 6 – Supervision**

Anthony Hene is supervised by Tom Hancock, the Head of the Focused Equity Team, who allocates responsibility for portions of client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of

client portfolios, including compliance with investment objectives and strategies, and monitors cash. Dr. Hancock may be reached at (617) 330-7500.

**Item 5 – Additional Compensation**

None

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**LUCAS WHITE**      c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1974

Educational Background:

- BA, Economics and Psychology,      Duke University, Durham, NC  
1996

Business Experience (previous 5 years):

- GMO LLC  
2018-Present      Partner, Portfolio Manager
- 2006-Present      Various capacities at GMO, including providing portfolio management for the GMO Quality Strategies

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Lucas White is supervised by Tom Hancock, the Head of the Focused Equity Team, who allocates responsibility for portions of client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Dr. Hancock may be reached at (617) 330-7500.

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**KIMBALL MAYER**      c/o GMO LLC, (617) 330-7500

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1961

Educational Background:

- B.A., History, 1983      Princeton University, Princeton, NJ

Business Experience (previous 5 years):

- GMO LLC  
2011- Present      Product Specialist

**Item 3 – Disciplinary Information**

None

**Item 6 – Supervision**

Kimball Mayer is supervised by Tom Hancock, the Head of the Focused Equity Team, who allocates responsibility for portions of

**Item 4 – Other Business Activities**

client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Dr. Hancock may be reached at (617) 330-7500.

**Item 5 – Additional Compensation**

None

## **GLOBAL EQUITY**

**SIMON HARRIS**      Head of Global Equity, c/o GMO LLC, (617) 330-7500

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1965

Educational Background:

- B.S., Mathematics, 1988                      City University of London, London U.K.

Business Experience (previous 5 years):

- GMO LLC  
2014-present                                      Quantitative Research Analyst

### **Item 3 – Disciplinary Information**

None

### **Item 4 – Other Business Activities**

None

### **Item 5 – Additional Compensation**

None

### **Item 6 – Supervision**

While Simon Harris is supervised by the Head of Investment Teams, as the Head of the Global Equity Team, Mr. Harris has a high level of autonomy and is accountable for research and portfolio management for the Team. The Head of Investment Teams, George Sakoulis, may be reached at (617) 330-7500.

**JOSH WHITE**      c/o GMO LLC, (617) 330-7500

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1974

Educational Background:

- PhD, Economics, 2003                                      Harvard University, Cambridge, MA
- B.A., Economics, 1997                                      Harvard University, Cambridge, MA

Business Experience (previous 5 years):

- GMO LLC  
2015-present                                      Quantitative Research Analyst

### **Item 3 – Disciplinary Information**

None

### **Item 4 – Other Business Activities**

### **Item 5 – Additional Compensation**

None

### **Item 6 – Supervision**

Josh White is supervised by Simon Harris, the Head of the Global Equity Team, who allocates responsibility for portions of client portfolios to members of the Team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Mr. Harris may be reached at (617) 330-7500.

## SYSTEMATIC GLOBAL MACRO

**JASON HALLIWELL** Head of Systematic Global Macro strategies, Level 12, 1 Alfred Street, Sydney, NSW 2000, Australia, tel. (61) (2) 8274-9900

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1971

Educational Background:

- Grad Diploma, Math and Finance, 2001 University of Technology, Sydney, Australia
- B, Commerce, 1996 University of Queensland, Brisbane, Australia
- B, Laws, 1995 University of Queensland, Brisbane, Australia

Business Experience (previous 5 years):

- GMO Australia Ltd., GMO LLC  
2011 – present Partner, GMO LLC  
2008 – present Head of Systematic Global Macro strategies (GMO Australia Ltd. and GMO LLC)

### **Item 3 – Disciplinary Information**

None

### **Item 4 – Other Business Activities**

None

### **Item 5 – Additional Compensation**

None

### **Item 6 – Supervision**

While Jason Halliwell reports to GMO's Head of Investment Teams, as the Head of Systematic Global Macro team, Mr. Halliwell has a high level of autonomy and is accountable for research and portfolio management for the Team. The Head of Investment Teams, George Sakoulis, may be reached at (617) 330-7500.

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**SEAN GLEASON** Level 12, 1 Alfred Street, Sydney, NSW 2000, Australia, tel. (61) (2) 8274-9900

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1971

Educational Background:

- M, Commerce, 1996 University of New South Wales, Kensington, Australia
- BS, Computer Science, 1992 University of New South Wales, Kensington, Australia

Business Experience (previous 5 years):

- GMO Australia Ltd.  
2016 - present Partner, Portfolio Manager  
2008 – present Portfolio Manager

### **Item 3 – Disciplinary Information**

None

### **Item 4 – Other Business Activities**

None

### **Item 5 – Additional Compensation**

None

### **Item 6 – Supervision**

Sean Gleason is supervised by Jason Halliwell, the Head of Systematic Global Macro team, who allocates responsibility for portions of client portfolios to members of the team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Mr. Halliwell may be reached at (61) (2) 8274-9900.

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**PETER MARTIN** c/o GMO Singapore Pte. Ltd., 1 Raffles Place, #53-00, One Raffles Place, Singapore, 048616, tel. (65) 6532-0346

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1974

Educational Background:

- M, Applied Finance, 2009 KAPLAN Professional, Sydney, Australia
- B, Mathematics & Finance, 1996 University of Wollongong, Wollongong, Australia

Business Experience (previous 5 years):

- GMO Singapore Pte. Ltd.  
2013 – present Investment Analyst
- GMO Australia Ltd.  
2008 – 2013 Portfolio Trader

**Item 3 – Disciplinary Information**

None

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

**Item 6 – Supervision**

Peter Martin is supervised by Jason Halliwell, the Head of Systematic Global Macro team, who allocates responsibility for portions of client portfolios to members of the team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Mr. Halliwell may be reached at (61) (2) 8274-9900.

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**VIKRAM MUNDKUR** Level 12, 1 Alfred Street, Sydney, NSW 2000, Australia, tel. (61) (2) 8274-9900

**Item 2 – Educational Background and Business Experience**

Year of Birth: 1981

Educational Background:

- M, Statistics, 2005 University of New South Wales, Kensington, Australia
- M, Finance, 2003 Institute for Chartered Financial Analysts of India, Hyderabad, India
- B, Commerce, 2002 University of Pune, Maharashtra, India

Business Experience (previous 5 years):

- GMO Australia Ltd.  
2017 - present Partner, Quantitative Research  
2008 – present Quantitative Research

**Item 3 – Disciplinary Information**

None

**Item 6 – Supervision**

Vikram Mundkur is supervised by Jason Halliwell, the Head of Systematic Global Macro team, who allocates responsibility for

**Item 4 – Other Business Activities**

None

**Item 5 – Additional Compensation**

None

portions of client portfolios to members of the team, oversees the implementation of trades, reviews the overall composition of client portfolios, including compliance with investment objectives and strategies, and monitors cash. Mr. Halliwell may be reached at (61) (2) 8274-9900.



## **SHORT DURATION**

**TRACEY KEENAN** c/o GMO LLC, (617) 330-7500

### **Item 2 – Educational Background and Business Experience**

Year of Birth: 1966

Educational Background:

- MBA, Finance, 2006 Suffolk University, Boston, MA
- B, Political Science, 1988 Stonehill College, Easton, MA

Business Experience (previous 5 years):

- GMO LLC
  - 2017 – present Partner, Cash Strategies Portfolio Manager, Co-Head Fixed Income Trading
  - 2014 – March 2017 Cash Trading Team Lead, Trader

### **Item 3 – Disciplinary Information**

None

### **Item 4 – Other Business Activities**

None

### **Item 5 – Additional Compensation**

None

### **Item 6 – Supervision**

While Tracey Keenan is supervised by the Head of Investment Teams, as the Head of the Short Duration Team, Ms. Keenan has a high level of autonomy and is accountable for research and portfolio management. The Head of Investment Teams, George Sakoulis, may be reached at (617) 330-7500.

## USONIAN JAPAN EQUITY

### DREW EDWARDS

c/o GMO LLC, (617) 330-7500

#### Item 2 – Educational Background and Business Experience

Year of Birth: 1971

Educational Background:

- JD, MBA, 2001 Northwestern University, Chicago, IL
- BA, International Business, 1994 Sophia University, Tokyo, Japan

Business Experience (previous 5 years):

- GMO LLC  
August 2020 – present Partner, Head of Usonian Japan Equity
- Usonian Investments LLC  
2015 – August 2020 Portfolio Manager, Chief Investment Officer and Chief Executive Officer

#### Item 3 – Disciplinary Information

None

#### Item 4 – Other Business Activities

None

#### Item 5 – Additional Compensation

None

#### Item 6 – Supervision

While Drew Edwards is supervised by the Head of Investment Teams, as the Head of the Usonian Japan Equity Team, Mr. Edwards has a high level of autonomy and is accountable for research and portfolio management. The Head of Investment Teams, George Sakoulis, may be reached at (617) 330-7500.